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Attorney's Docket No.: 10180-002003 0490
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Brock W. Callen et al. Art Unit : 2161
Serial No. : 10/012,204 Examiner : Unknown
Filed : October 30, 2001
Title : PROVIDING TERMINATION BENEFITS FOR EMPLOYEES

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 U.S.C. §1.53(b) mailed December 26, 2001 (copy enclosed), applicant claims small entity status (see 37 CFR 1.27) and submits herewith the following:

- Payment of the basic filing fee of \$370;
- Payment of the surcharge of \$65 for late filing of the basic filing fee and/or declaration;
- A check in the total amount of \$435 is attached;
- Other: Supplemental Preliminary Amendment

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

February 26, 2002

Date of Deposit

Signature

Lesley J. Arcidy

Typed or Printed Name of Person Signing Certificate

Applicant : Brock W. Callen et al.
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Page : 2

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It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050, reference 10180-002003.

Respectfully submitted,

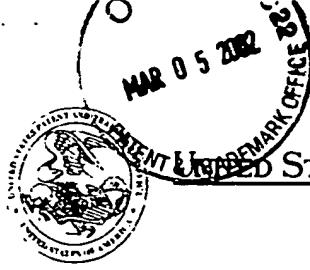
Date: 2/26/02



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/012,204	10/30/2001	Brock W. Callen	10180-002003

CONFIRMATION NO. 5770

FORMALITIES LETTER



"OC000000007230268"

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COPY OF PAPERS
 ORIGINALLY FILED

Date Mailed: 12/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 435.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/11/2002 NM0HARM1 00000096 10012204

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02 FC:205370.00 0P
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